

## Title of the Invention

### Delivery of DNA Vaccines into Fish by Immersion

## Background of the Invention

### 1. Field of the Invention

5 This invention relates to the control of pathogenic infections of fish, and more particularly to the administration of vaccines for such control.

### 2. Description of the Related Art

10 Infectious diseases are a major constraint to the expansion of aquaculture. The use of drugs and antibiotics in aquaculture is highly regulated in order to avoid risks to the public safety, to animal health, and to prevent the development of resistant strains of disease organisms. Consequently, the farmer is left with few resources like vaccination, early diagnosis, and good husbandry techniques.

15 DNA immunization constitutes a new and promising approach to vaccination. It consists in the introduction of a plasmid vector mediating expression of antigen/s into the tissue of an animal in order to elicit an immune response (Ulmer JB, Sadoff JC, Liu MA. DNA vaccines. *Curr Opin Immunol* 1996;8:531-6). It has been shown that DNA immunization provides protection against infection by a variety of pathogens, including protection of rainbow trout against infection by infectious hematopoietic necrosis virus (Anderson ED, Mourich DV, Fahrenkrug SC, LaPatra S, Sheperd J, Leong Jo-AC. *Mol*  
20 *Mar Biol Biotech* 1996;5:114-22), viral hemorrhagic septicemia (Lorenzen N, Lorenzen E, Einer-Jensen K, Heppell J, Wu T, Davis H. *Fish Shellfish Immunol* 1998;8:261-270), and bacterial kidney disease (Gómez-Chiarri M, Brown LL, Levine RP. In: Aquaculture Biotechnology Symposium Proceedings. Physiology Section of the American Fisheries

Society, 1996;155-157). DNA immunization is especially attractive for the development of vaccines against diseases caused by virus, parasites, and intracellular pathogens, where T cells are the central mediators of protection and pathology.

Two major roadblocks to the licensing and commercialization of DNA vaccines for aquaculture are the availability of safe and efficacious expression vectors and economic and efficient methods of delivery. The Center for Biological Evaluation and Research (CBER) of the Food and Drug Administration (FDA) has released a draft of points to consider in designing DNA vaccines (Food and Drug Administration Center for Biologics Evaluation and Research Office of Vaccine Research and Review. December 1996. Points to consider on plasmid DNA vaccines for preventive infectious disease indications. DOCKET NO. 96N-0400). Of concern is the source of the DNA incorporated into the vector, including eukaryotic promoters and enhancers; termination/polyadenylation addition sites; antibiotic resistance markers; and other selection markers. In considering the use of an antibiotic resistance marker CBER is advising manufacturers against the use of penicillin or other  $\beta$ -lactam antibiotics. When an antibiotic resistance marker is required in a plasmid DNA vaccine construct, CBER advises the use of an antibiotic such as kanamycin or neomycin. These aminoglycoside antibiotics are not extensively used in the treatment of clinical infections due to their low activity spectrum, prevalence of kanamycin-resistant bacteria, and their problematic therapeutic index with toxicities including irreversible cytotoxicity and nephrotoxicity. Furthermore, although the use of viral promoters and mammalian and viral termination and polyadenylation signals in the design of DNA vaccines is not a main consideration in

the CBER draft, there is a general public concern on the use of viral and mammalian sequences in agricultural products.

Concerning the routes of administration, previous studies in the development of DNA vaccines for fish have used direct injection of naked DNA as a method of vaccine delivery into the fish. This method of DNA delivery is efficient and simple, but requires fish handling. Alternative methods for vaccine delivery that are simple and more economic include bath and immersion. However, the efficacy of the bath and immersion methods is reduced because the water and the skin and mucus of the fish are abundant in enzymes that can degrade DNA.

The present invention provides the tools for an effective and economic method for the delivery of DNA vaccines into finfish and other aquatic species.

#### Brief Summary of the Invention

Broadly, this invention provides a method of inducing an immune response in a fish against one or more pathogens which comprises transforming a bacterium with a plasmid comprising DNA of interest encoding at least one protein antigen for each of the pathogens, killing the bacterium, and immersing the fish in a solution comprised of the dead, whole celled, bacterium to effect the expression of the protein antigen by the fish. The methods of this invention are useful for inducing an immune response in species of finfish, such as salmonids, catfish, tilapia, seabass, seabream, cod, haddock, flatfish species, such as flounder, turbot and halibut, and other aquatic species, such as shellfish, e.g. shrimp, lobsters and crabs, against bacterial and viral pathogens such as *Vibrio* spp, *Aeromonas* spp, *Piscirickettsia salmonis*, *Renibacterium salmoninarum*, Infectious

Hematopoietic Necrosis Virus, Infectious Pancreatic Necrosis Virus, and Viral Hemorrhagic Septicemia.

The invention also comprises a method of inducing an immune response in a fish against one or more pathogens which comprises immersing the fish in a solution  
5 comprised of a live, whole-celled recombinant bacterium, the bacterium having incorporated therein a plasmid comprising DNA of interest encoding at least one protein antigen for each of the pathogens to effect the expression of the protein antigen by the fish.

In another aspect of the invention, the plasmid comprises an eukaryotic promoter,  
10 a polyadenylation signal of fish origin and DNA of interest encoding at least one protein antigen from a fish pathogen. DNA of interest encoding at least one protein from a fish pathogen includes the *p57* gene from *Renibacterium salmoninarum*, the *empa* gene from *Vibrio anguillarum*, the *aspa* gene from *Aeromonas salmonicida*, the *omp48* and *omp38* genes from *Aeromonas veronii*, and the genes coding for the G proteins from the  
15 Infectious Hematopoietic Necrosis Virus and the Viral Hemorrhagic Septicemia Virus. The eukaryotic promoter is a carp beta-actin promoter. Other suitable promoters can include constitutive or inducible promoters such as the *Fundulus heteroclitus* lactate dehydrogenase-B promoter, and the winter flounder antifreeze protein promoter.

Suitable poly-A sequences of fish origin, which increase the efficacy of the DNA  
20 vaccine plasmid for aquaculture, include poly-A signals from the medaka (*Oryzias latipes*) and carp (*Ctenopharyngodon idella*) beta-actin genes and the antifreeze protein poly-A signal from various fish species such as the wolfish (*Anarhichas lupus*), ocean pout (*Macrozoarces americanus*), and winter flounder (*Pseudopleuronectes americanus*).

In another aspect of the invention, the plasmids comprise a carp beta-actin promoter, a polycloning site to facilitate the cloning of antigenic genes, a poly-A signal from a gene of fish origin, and a kanamycin gene for selective antibiotic resistance in bacterial culture of the plasmid.

5 In yet another aspect of the invention, the plasmid comprises a eukaryotic expression vector and a broad host range origin of replication for the transformation of bacteria pathogenic to fish. The bacterium is selected from the group consisting of *E. coli*, *Vibrio anguillarum*, and *Aeromonas salmonicida*. Other bacteria can include, but are not restricted to, mutated or wild-type *Vibrio* spp, *Yersinia ruckeri*, *Aeromonas*  
10 *veronii*, *Aeromonas hydrophila*, and *Edwardsiella ictaluri*.

In another aspect of the invention, the bacterium is comprised of a nonpathogenic strain of *V. anguillarum*. The nonpathogenic strain of *V. anguillarum* is characterized in that it is incapable of expressing a functional *mugA* protein.

Another aspect of the invention comprises immersing the fish in a solution  
15 comprised of  $10^{10}$  cells/liter formalin-killed transformed bacteria for about five minutes.

The invention also comprises a method for the delivery of DNA in a fish comprising transforming a bacterium with a plasmid comprising DNA of interest which encodes a protein, immersing the fish in a solution comprised of the dead, whole celled, or live bacterium to effect the production of the protein by the fish. This method is useful in that the plasmids used to transform the bacteria can be engineered to include DNA of interest that encodes for protein antigens for pathogens of the fish using standard molecular procedures known in the art thereby effecting the expression of protein antigens in the fish tissue to elicit an immune response. The plasmids can be engineered

to comprise DNA of interest encoding at least one protein from a fish pathogen selected from the group consisting of the *p57* gene from *Renibacterium salmoninarum*, the *empa* gene from *Vibrio anguillarum*, the *aspa* gene from *Aeromonas salmonicida*, the *omp48* and *omp38* genes from *Aeromonas veronii*, and the genes coding for the G proteins from the Infectious Hematopoietic Necrosis Virus and the Viral Hemorrhagic Septicemia Virus.

The invention also comprises the plasmids used in the method for the delivery of DNA in a fish which comprise a promoter of fish or viral origin, a polyadenylation signal of fish or viral origin and an antibiotic resistance marker.

#### Brief Description of the Drawing(s)

5 Fig. 1 depicts graphs of the luciferase expression in Atlantic salmon tissue at various time points after immersion in formalin-killed transformed *E. coli* or naked DNA.

Fig. 2 depicts a comparison of the different routes of DNA delivery into Atlantic salmon tissues.

Fig. 3 depicts graphs of the effect of immersion in varying concentrations of formalin-  
10 killed transformed *E. coli* or naked DNA on luciferase expression in Atlantic salmon tissue.

Fig. 4 depicts graphs of the effect of immersion time on luciferase expression in salmon tissue.

Fig. 5 is a graph showing the effect of promoter sequence on luciferase expression in  
15 transfected Atlantic salmon muscle tissue.

Fig. 6 is a graph showing the effect of polyadenylation signal on luciferase expression in transfected Atlantic salmon muscle tissue.

Fig. 7 depicts a map of the plasmid pJL3

Fig. 8 depicts a map of the plasmid pJGJ1

#### Description of the Preferred Embodiment(s)

The invention will now be described with reference to the following non-limiting  
5 examples.

#### METHODS & MATERIALS

##### Fish

Atlantic salmon (*Salmo salar*) were obtained from the Aquaculture Research  
Center at East Farm, University of Rhode Island (Kingston, RI) and maintained in  
10 freshwater tanks at a water temperature of 10-16°C.

##### Evaluation of Immersion as an Administration Route for DNA vaccines for fish

Different immersion methods were evaluated for their efficiency in delivering the  
DNA of an expression vector (plasmid DNA) into the fish. Groups of fish were immersed  
in solutions of: (i) naked test or control plasmid DNA, (ii) formalin-killed *E. coli*  
15 transformed with test or control plasmid, or (iii) live *E. coli* transformed with test or  
control plasmid. The pCMVtkluc plasmid was used as a test plasmid for the immersion  
studies because: (1) the cytomegalovirus (CMV) promoter/enhancer has been shown to  
be a highly efficient promoter system, and (2) it has been used in previous research on the  
comparison of delivery routes for DNA vaccines. Three types of negative controls were  
20 used: fish were either (a) immersed in phosphate buffered saline (PBS); (b) immersed in  
the promoter-less plasmid pluc as naked DNA, formalin-killed *E. coli* transformed with  
pluc or live *E. coli* transformed with pluc; or (c) injected intramuscularly with naked pluc  
plasmid DNA. The positive control consisted of fish intramuscularly injected with

pCMVtkluc. Each experiment was performed once or twice as indicated in the figures and data was averaged for statistical purposes.

#### Preparation of immersion solutions

Competent JM- 109 *E. coli* cells (Promega) were transformed with the plasmids pCMVtkluc or pluc using a standard heat-shock protocol (Sambrook *et al.*, Molecular Cloning: A Laboratory Manual. 2<sup>nd</sup> ed., New York, 1989). Transformants were cultured overnight at 37°C with agitation until they reached concentrations of approximately 10<sup>10</sup>-10<sup>11</sup> cells/l (see Table 3). DNA was extracted using an alkaline lysis protocol and purified through a silica/salt matrix (RPM 4G Mini-Monster Prep. Bio 101, Vista, CA, USA) following manufacturer's instructions. Concentrations of DNA were determined by absorbance readings at 260 nm (Beckman DU-8B UV-Visible Spectrophotometer, Beckman Instruments Inc., Irvine, CA) and purity was calculated by a ratio of the absorbances at 260 nm and 280 nm. DNA was then resuspended to experimental immersion concentrations.

Transformed *E. coli* cultures to be formalin killed were cultured overnight at 37°C with agitation until they reached concentrations of approximately 10<sup>10</sup>-10<sup>11</sup> cells/l. The cells were then centrifuged for 10 minutes at 5,600 x g, resuspended in a 1/10 volume of 0.4% formalin/phosphate buffered saline (PBS) and incubated overnight at approximately 4°C with mild agitation. Formalin-killed bacteria were washed three times and their concentration was determined by absorbance readings at 600 nm (1 OD<sub>600</sub> =10<sup>8</sup> cells/ml). Bacteria were then resuspended in PBS to experimental immersion concentrations. To verify total loss of viability, an aliquot of bacterial cells was plated on Luria-Bertani (LB) agar and incubated overnight at 37°C.



Cultures used for live transformed *E. coli* immersions were grown overnight at 37°C with agitation until they reached concentrations of approximately 10<sup>10</sup>-10<sup>11</sup> cells/l. The cells were then centrifuged for 10 minutes at 5,600 x g and concentrations were determined as above. Bacteria were then resuspended in PBS to experimental immersion concentrations. To verify the preservation of viability, an aliquot of bacterial cells was plated on LB agar and incubated overnight at 37°C.

#### Temporal and tissue patterns of expression after immersion

Three groups of Atlantic salmon (4-6 g, n=18 per group) were anesthetized in 20 mg/l MS-222 until opercular and muscular movement decreased. The adipose fin, right or left maxilla or combinations of the three areas were clipped to indicate the type of immersion each fish received. After recovering from anesthesia, fish were immersed for 1 minute in either 10<sup>10</sup> cells/l formalin-killed *E. coli* transformed with either pluc of pCMVtkluc DNA (see Table 2), or 1 mg/l naked pCMVtkluc DNA. The fish were then quickly rinsed in a bucket of water and put into one of three recirculating freshwater tanks. Fish were segregated into each tank by the type of immersion received. An additional tank was used to house fish not exposed to any of the immersions. Six fish from each group were euthanized by an overdose of 50 mg/l MS-222 at 4 days, 8 days and 6 weeks post immersion. At day 8, samples were also taken from control fish not immersed in DNA or *E. coli* solutions. Samples from four tissues were excised from each fish: kidney, gill, liver and spleen. These tissues were chosen because they are known to function either immunologically or in the filtration of body fluids. After excision, a sample from each tissue was homogenized in 0.5 ml Passive Lysis Buffer (Promega) and frozen at -20°C until assaying. An additional sample from each tissue excised on day 8

was flash frozen in dry ice/ethanol and kept at -20°C until polymerase chain reaction analysis was conducted.

#### Determination of plasmid DNA presence in tissues after immersion

Polymerase chain reaction (PCR) analysis was used to determine the presence of  
5 plasmid DNA in the tissues 8 days after immersion. Primers were designed to amplify a  
400 bp region of the luciferase gene (LUC1FOR: 5' AGA TCT CGA GAT CTG AGC  
TT 3'; LUC2REV: 5' CGC AAC TGC AAC TCC GAT AA 3'). Twenty-five microliter  
reactions were conducted consisting of 22.5 µl PCR supermix (Gibco LifeTechnologies,  
mc, Rockville, N4D, USA, see Table 2 for composition), 1 µM of each primer, and 50 ng  
10 template fish tissue DNA. The thermocycler (PTC-200 DNA Engine, MJ Research, Inc.,  
Watertown, MA, USA) was programmed for an initial DNA denaturation step of 3  
minutes at 94°C followed by thirty-six cycles of a 1 -minute denaturation step at 92°C, a  
primer annealing step of 58°C for 1 minute, and an elongation step of 72°C for 1 minute.  
The cycles were followed by a final extending step of 75°C for 5 minutes to fill the  
15 protruding ends of the PCR products. Samples were then run on a 0.7% agarose gel for 1  
hour at 80 volts.

#### Determination of luciferase expression in tissues after immersion

The Luciferase Assay System (Promega) was used to assay luciferase levels in the tissue  
extracts. Tissue samples were thawed to room temperature and centrifuged at 5,600 x g  
20 for 10 minutes to pellet cellular debris. Twenty microliters of cell lysate were added to 50  
µl of Luciferase Assay Reagent (Promega. proprietary) in a 12 x 50 mm polypropylene  
luminometer tube (Turner Designs) and pipetted to mix. The tube was placed in the  
luminometer for measurement of luminescence. The measurement time consisted of a

three second delay and an eleven-second reading. As an internal control, total protein content in the lysate of each sample was measured using the Bradford protein assay (Bradford, 1976; Bio-Rad. Hercules, CA, USA). Results are expressed as ratio of Relative Luminescence Units per milligram of protein in tissue lysate (RLU/mg protein).

5 All data were statistically analyzed using one-way ANOVA; post-hoc Tukey tests were conducted where significance was detected (Keppel, Design and Analysis: A Researcher's Handbook. 3<sup>rd</sup> ed. New Jersey, 1991).

Comparison of DNA delivery methods: Immersions in live or killed transformed *E. coli* or naked DNA vs. intramuscular injection

10 Fish (0.5-1.7 g, n=12 per group) were immersed for 1 minute in either 10<sup>7</sup> cells/l formalin-killed transformed *E. coli* or live transformed *E. coli*, or 1 mg/l naked DNA and rinsed after immersion. Fish injected or immersed in similar conditions were maintained in the same tank with the associated negative control; i.e., fish immersed in live *E. coli* transformed with pluc and fish immersed in live *E. coli* transformed with pCMVtkluc.

15 Fish were sacrificed four days after immersion and divided into 3 sections: head, liver and trunk. The sections of the body were homogenized in various amounts of Passive Lysis Buffer dependent upon size of section: head -1.0 ml, liver -0.5 ml, and trunk -2.0 ml. Tissue samples were then stored at -20<sup>0</sup>C, processed, and assayed for luciferase and protein levels as previously described. Data was statistically analyzed with t-tests or

20 ANOVA; post-hoc Tukey tests were conducted where significance was detected.

Optimization of dose for naked DNA and transformed *E. coli* concentrations

To determine the optimal concentrations of naked DNA or formalin-killed transformed *E. coli* for delivery of the expression vector by immersion, fish (4-6 g; n = 10

per group) were immersed in various concentrations of DNA or transformed killed *E. coli* for 1 minute. The concentrations of naked DNA tested were 0.1 mg/l, 1.0 mg/l and 5.0 mg/l; the concentrations of formalin-killed transformed *E. coli* were  $10^3$ ,  $10^7$  and  $10^{10}$  cells/l. After immersion fish were rinsed and those fish immersed in DNA were divided into two groups and maintained in separate tanks. Fish immersed in *E. coli* were also divided into two groups and maintained in two tanks separate from fish immersed in DNA. Fish were sacrificed 4 days after immersion and samples of five tissues were excised: muscle, gill, liver, spleen and kidney. The samples were homogenized in 0.5 ml Passive Lysis Buffer, stored at  $-20^{\circ}\text{C}$ , processed, assayed for luciferase and protein levels, and statistically analyzed as previously discussed.

#### Optimization of the length of immersion time

The effect of the length of immersion time on luciferase expression was tested by immersing the fish (0.5-1.7 g) for various amounts of time (1 minute, 5 minutes, 6 hours, 12 hours and 24 hours) in solutions of  $10^{10}$  cells/l formalin-killed pCMVtkluc- or pluc-transformed *E. coli* or PBS. Aeration was provided for the length of immersion. As a positive or negative control, fish were injected with pCMVtkluc or pluc, respectively. Each group of fish (n=10) immersed for the same time period and using the same delivery method was maintained in the same tank; i.e., fish immersed in pCMVtkluc- or pluc- transformed *E. coli* for 1 minute were maintained together. Fish were sacrificed four days after immersion and divided into 3 sections: head, liver and trunk. The sections of the body were homogenized in various amounts of Passive Lysis Buffer dependent upon size of section: head -1.0 ml, liver -0.5 ml, and trunk -2.0 ml. All tissues were then

stored at -20°C, processed, assayed for luciferase and protein levels, and statistically analyzed as previously discussed.

Evaluation of promoter and polyadenylation sequences for the construction of DNA vaccines for fish

5 Construction of the plasmids

Table 1

	promoter	Polyadenyl ation signal	antibiotic resistance	backbone	luciferase gene
I. Control Plasmids					
pluc <sup>6</sup>	--	SV40 splice+	ampicillin	--	not optimized
pCMVtkluc	CMVtk	SV40 splice+	ampicillin	pluc	not optimized
pVRluc	--	mRBG	kanamycin	pVR1255	optimized <sup>1</sup>
pVR1255 <sup>2</sup>	CMV	mRBG	kanamycin	--	optimized <sup>1</sup>
pRL-CMV <sup>3</sup>	CMV	SV40	ampicillin	--	--
II. Test Plasmids					
pLDH500luc <sup>4</sup>	LDH	SV40 splice+	ampicillin	pluc	not optimized
pCBACTluc <sup>7</sup>	carp beta- actin	SV40 splice+	ampicillin	pluc	not optimized
pJL3	Carp beta-	Wolf fish	kanamycin	pluc	not

	actin	AFP			optimized
pJGJ1	CMV <sup>5</sup>	BGH <sup>5</sup>	tetracycline	pACYC184	optimized
pVRCBACTluc	carp beta-actin	mRGB	kanamycin	pVR1255	optimized <sup>1</sup>

AFP anti freeze protein

BGH bovine growth hormone

CMVtk: cytomegalovirus early enhancer + herpes simplex virus thymidine kinase promoter

5 CMV: cytomegalovirus immediate early enhancer promoter

mRBG: minimal rabbit beta globin

LDH: lactate dehydrogenase-B promoter

SV40: Simian virus 40

<sup>1</sup> Norman *et al.*, Development of Improved Vectors for DNA-based

10 Immunizations and other Gene Therapy Applications. *Vaccine.* , 15: 801-803, 1997.

<sup>2</sup> Vical

<sup>3</sup> Promega

<sup>4</sup> Schulte *et al.*, Structural and functional differences in the promoter and 5'

flanking region of LDH-B within and between populations of the teleost *Fundulus*

15 *heteroclitus*, *Genetics*, 145: 759-769.

<sup>5</sup> From pCDNA3, Invitrogen

<sup>6</sup> Altschmied, J. and Duschl, J. Set of optimized luciferase reporter gene plasmids compatible with widely used CAT vectors. *BioTechniques* 23 (3), 436-438 (1997).

<sup>7</sup> Gomez-Chiarri *et al.*, Evaluation of eukaryotic promoters for the construction of DNA vaccines for aquaculture. *Genet Anal* 15:121-124 (1999).

The following plasmids were used to investigate the efficiency of different regulatory sequences in driving the expression of the luciferase gene: pluc, pCMVtkluc.

5 pLDH500luc, pCBACTluc, pVRluc, pVR1255, pVRCBACTluc , pRL-CMV, pJL3, pJGJ1 (see Table 1 for plasmid components and Figs. 7-8 for maps of pJL3 and pJGJ1).

The plasmids pCBACTluc, pVRCBACTluc and pVRluc were constructed using standard molecular procedures (Sambrook *et al.*, Molecular Cloning: A Laboratory Manual. 2<sup>nd</sup> ed., New York, 1989) and were verified using restriction mapping and automated

10 sequencing (Keck Biotechnology Center, Yale University, CT). Reagents for subcloning and restriction enzyme digestion were purchased from Promega (Madison, WI, USA) and New England BioLabs (Beverly, MA, USA) unless stated otherwise.

To construct pCBACTluc, a 1.35 kb fragment containing the proximal promoter from the beta-actin gene (Liu *et al.*, Functional analysis of elements affecting expression of the B-actin gene of carp, *Molecular and Cellular Biology.*, 10: 3432-3440, 1990) was  
15 isolated from pP3PalacZ-Carp $\beta$ A using the restriction enzymes HindIII and Sall and subcloned into pluc linearized with HindIII and Sall. pVRCBACTluc was constructed using pVR1255 as a backbone (Vical Inc., San Diego, CA, USA) (Norman. *et al.*,

Development of Improved Vectors for DNA-based Immunizations and other Gene

20 Therapy Applications. *Vaccine* 15: 801-803, 1997). pVR1255 contains a luciferase gene optimized for higher levels of expression in mammals. The 1.35 kb carp beta-actin promoter was isolated from pCBACTluc using HindIII and Sall restriction enzymes, and subcloned into the blunt-ended EcoRV site in pVR1255. Clones were selected for correct

promoter orientation by restriction enzyme mapping. The cytomegalovirus (CMV) promoter in pVR1255 was then removed by partial digestion with AseI. The resulting 6.8 kb fragment was isolated from a 0.7% agarose gel and re-ligated using T4 DNA ligase.

pVRluc was a promoter-less negative control for the test plasmid pVRCBACTluc.

- 5 To remove the beta-actin promoter, pVRCBACTluc was partially digested with AseI. The linearized 6.8 kb fragment was isolated from an 0.7% agarose gel, purified through a Qiagen column (QIAquick Gel Extraction Kit, Qiagen, Valencia,, CA, USA), completely digested with PstI, and blunt-ended with T4 DNA polymerase. The resulting 5.45 kb DNA fragment was isolated from a 0.7% agarose gel and re-ligated with T4 DNA ligase.

#### 10 *In vivo assays of promoter/poly-A signal activity*

The efficiency of different promoters and polyadenylation sequences in driving expression of the firefly luciferase gene (*Photinus pyralis*) in fish was determined *in vivo* by transfection of the muscle of live Atlantic salmon (*Salmo salar*) (Gómez-Chiarri *et al.*, Introduction of foreign genes into tissue of live fish by direct injection and particle bombardment, *Diseases of Aquatic Organisms*. 27: 5-12, 1996). An internal control plasmid was co-injected with the experimental and control plasmids into the muscle of different groups of Atlantic salmon. The internal control, pRL-CMV (Promega, Madison, WI, USA), was co-injected to enable assay and evaluation of differences in transfection efficiency. pRL-CMV encodes a sea pansy luciferase (*Renilla reniformis*) that evolved separately from the firefly luciferase encoded in the plasmids being tested; therefore, it requires a different substrate for catalysis (Sherf *et al.*, Dual-Luciferase reporter assay: an advanced co-reporter technology integrating firefly and *Renilla* luciferase assays.



*Promega Notes*. 49: 14+, 1996). This enables the two luciferase enzymes to be assayed simultaneously within the same tube using the Dual Luciferase Assay System (Promega).

Atlantic salmon (45-65 g, n = 6-10 per group) were anesthetized with 20 mg/l of 3-aminobenzoic acid ethyl ester (MS-222) (Sigma, St. Louis, MO, USA). When opercular and muscular movement decreased, fish were removed from the anesthetic and placed on a flat surface. Plasmids were injected into the muscle of fish at the midpoint between the front of the dorsal fin and the lateral line. Injections were composed of 5 µg of pRL-CMV and 25 µg of either test or control plasmid in a total volume of 50 µl phosphate buffered saline (PBS). Approximately 1 µl of water-based, non-toxic indicator dye (Tempera paint, Binney & Smith, Inc., Easton, PA, USA) per fish was vortexed into the DNA mixture to facilitate visualization of the injection area. Fish were then returned to an aerated, flow-through freshwater tank of 13°C and allowed to recover. Fish were euthanized four and eight days post-injection using an overdose (> 50 mg/l) concentration of MS-222. When opercular and muscular movement ceased, the animals were removed from the anesthetic and sacrificed by severing the dorsal spine at the base of the head. Indicator dye co-injected with the plasmid DNA facilitated visualization and excision of muscle tissue in the area of injection (approximately 0.19 cm  $0.5^3$  x 0.75 x 0.5 cm). The excised tissue was homogenized (PowerGen 125 Homogenizer, Fisher Scientific) in 0.5 ml Passive Lysis Buffer (Promega, proprietary). Samples were stored at -20°C until assayed for luciferase activity.

Tissue samples were thawed to room temperature and centrifuged for 15 minutes at 11,200 x g to pellet cellular debris. Five microliters of cell lysate was added to 50 µl of Luciferase Assay Reagent II (Promega, proprietary) in a 12 x 50 mm polypropylene

luminometer tube (Turner Designs, Sunnyvale, CA, USA) and pipetted to mix. The tube was immediately placed in a TD-20/20 Luminometer (Turner Designs) and luminescence was measured following manufacturer's instructions. The measurement time consisted of a three-second delay and an eleven-second reading. After an initial reading which

5 measured the luminescence of the firefly luciferase in relative luminescence units (RLU), 150 µl of Stop & Glo Reagent (Promega proprietary) was added to the mixture. The tube was vortexed for two seconds and immediately placed in the luminometer. The second reading measured RLU of the sea pansy luciferase encoded by the internal control plasmid pRL-CMV and also consisted of a three-second delay and an eleven-second

10 reading. A ratio of firefly luciferase to sea pansy luciferase was calculated from this data. All data were statistically analyzed using one-way ANOVA. Post-hoc Tukey tests were conducted where significance was detected (Keppel, Design and Analysis: A Researcher's Handbook. 3<sup>rd</sup> ed. New Jersey, 1991).

## RESULTS

### Evaluation of Immersion as an Administration Route

#### Temporal and tissue patterns of expression after immersion

#### Determination of plasmid DNA presence in tissues after immersion

PCR analysis revealed the presence of a 400 bp region of the luciferase gene from pCMVtkluc or pluc in the four tissues sampled (gill, liver, spleen and kidney)

20 after fish had been immersed in either (1) formalin-killed *E. coli* transformed with pCMVtkluc. (2) formalin-killed *E. coli* transformed with pluc or (3) naked pluc DNA. Analysis of the negative control fish (not immersed) demonstrated that the luciferase gene was not present in the tissues of those fish.

### Determination of luciferase expression in tissues after immersion

Referring to Figs 1 a-d, fish were immersed for 1 minute in either  $10^7$  cfu/l of formalin-killed *E. coli* transformed with pCMVtkluc or pluc, or 1 mg/l of naked pCMVtkluc DNA. Luciferase expression was measured 4 days, 8 days, and 6 weeks after immersion in samples of (a) gill (b) liver, (c) spleen, and (d) kidney. Results are expressed as a ratio of firefly luciferase expression (RLU) to mg of protein in 1ml of tissue lysate  $\pm$  standard deviation of the mean of two experiments, n = 18 per group. Statistical differences were found between circled and non-circled letter pairs ( $p < 0.05$ ).

Luciferase expression was variable depending upon the tissue sampled (Figure 1).

Although not statistically significant due to high variance in the values of luciferase, the following trends were observed: (1) Increased levels of luciferase expression compared to the controls were observed in the gill, liver and kidney four days after immersion in killed *E. coli* transformed with pCMVtkluc. (2) Luciferase expression in most tissues was higher after immersion in transformed *E. coli* compared to immersion in naked DNA. (3) Luciferase expression decreased over time with the highest levels detected at 4 days and no expression detected by 6 weeks after immersion. This difference is statistically significant in the kidney of fish immersed in *E. coli* transformed with pCMVtkluc ( $p < 0.05$ ).

### Comparison of DNA delivery methods: Immersions in live or killed

#### transformed *E. coli* or naked DNA vs. intramuscular injection

Referring to Figs. 2 a-c, fish were either (1) immersed for 1 minute in  $10^7$  cfu/l of formalin-killed transformed *E. coli*, (2) immersed for 1 minute in  $10^7$  cfu/l of live transformed *E. coli*, (3) immersed for 1 minute in 2.5 mg/l naked DNA, or (4) injected

intramuscularly with 5 µg of naked DNA. Luciferase expression was measured in (a) head, (b) liver, and (c) trunk, 4 days after immersion. Results are expressed as a ratio of firefly luciferase expression (RLU) to mg of protein ± standard deviation of the mean of two experiments, n=12 per group. Statistical differences were found between circled and non-circled letter pairs ( $p < 0.05$ ).

The highest levels of luciferase expression were observed in the trunk of fish injected intramuscularly with pCMVtkluc DNA ( $p < 0.05$  compared to corresponding control, Figure 2 c). Luciferase expression was statistically higher in the liver and trunk of fish immersed in killed *E. coli* transformed with pCMVtkluc when compared to their respective negative controls ( $p < 0.05$ ) (Figures 2 b-c). A similar and significant increase in levels of expression was observed in the livers of fish immersed in either killed or live *E. coli* transformed with pCMVtkluc ( $p < 0.05$ ). Additionally, expression in the liver of fish immersed in either of these two solutions was significantly higher than expression after pCMVtkluc injection or immersion in naked DNA ( $p < 0.05$ ) (Figure 2 b). Increased expression was also observed in the head and liver of fish immersed in naked DNA compared to the negative controls (liver,  $p < 0.05$ ) (Figures 2 a-b). Overall, levels of luciferase expression four days after exposure were highest in the trunk after intramuscular injection; lower but still significantly increased compared to controls in the liver after immersions in either live or formalin-killed *E. coli*; and elevated in the head when fish were immersed in naked DNA.

#### Optimization of dose for naked DNA and transformed *E. coli* concentrations

Referring to Figs. 3 a-c, fish were immersed for 1 minute in varying concentrations of naked DNA or transformed *E. coli*. Luciferase expression was

measured 4 days after immersion in samples of (a) muscle (b) gill, and (c) kidney.

Results are expressed as a ratio of firefly luciferase expression (RLU) to mg of protein  $\pm$  standard deviation. *E. coli* values are the mean of two experiments, n=8 per group.

Statistical differences were found between circled and non-circled letter pairs ( $p < 0.05$ ).

5 To determine the optimal concentrations of naked DNA and formalin-killed, transformed *E. coli* for the delivery of the expression vector into salmon tissues, fish were immersed in various concentrations of DNA or *E. coli* and luciferase expression was measured in selected tissues four days after immersion (Figure 3). Expression of luciferase was dose dependent; tissues from the muscle, gill and kidney expressed the  
10 highest levels of luciferase when immersed in the highest concentrations of transformed *E. coli* or naked DNA (Figure 3). Significant differences in luciferase expression were observed in the gill (Figure 3 b): 5 mg/l, 1 mg/l and 0.1 mg/l naked DNA induced significantly higher levels of expression than  $10^3$  *E. coli* cells/l transformed with pCMVtkluc and the pluc negative control ( $p < 0.05$ ). Additionally, fish immersed in  $10^{10}$   
15 cells/l expressed significantly higher levels of luciferase in the gill than fish immersed in pluc ( $p < 0.05$ ). In muscle tissue, increasing levels of luciferase expression were detected after immersion in increasing concentrations of naked DNA (Figure 3 a); however, no expression was observed in the muscle when fish were immersed in transformed *E. coli* (not shown). No increase in expression was observed in the liver or spleen four days after  
20 immersion (not shown).

#### Optimization of the length of immersion time

Referring to Figs. 4 a-c, fish were immersed in  $10^{10}$  cfu/l of formalin-killed *E. coli* transformed with pCMVtkluc for varying amounts of time. Negative and positive

controls consisted of 25 µg pluc and pCMVtkluc injections (respectively). Luciferase expression was measured in (a) head (b) liver and (c) trunk, 4 days after immersion.

Results are expressed as a ratio of firefly luciferase expression (RLU) to mg of protein ± standard deviation. Immersion time (t = 1 min) is the mean of two experiments; all other immersion lengths were tested once, n= 10 per group. Statistical differences were found between circled and non-circled letter pairs (p<0.05).

A significant increase in luciferase expression was observed in the head and trunk of fish immersed for 5 minutes compared to the negative pluc control (p < 0.05) (Figure 4 a and 4 c). Expression in the head of fish immersed for 5 minutes was also significantly higher than all other immersion times (p < 0.05) (Figures 4 a). Although luciferase expression was observed in the liver after immersions of longer duration, the expression levels were low and not statistically significant compared to the negative control (Figure 4 b). Overall, these data indicate that increasing the duration of the immersion from 5 minutes to 6 hours does not increase levels of luciferase expression.

#### Construction of expression vectors for the DNA vaccination of fish

#### Evaluation of Promoter and Poly-A Sequences

Referring to Fig. 5, luciferase expression was measured 4 (clear bars) and 8 days after co-injecting 25 µg of a test plasmid and 5 µg of pRL-CMV in the muscle tissue.

Results are expressed as ratio of firefly luciferase expression to sea pansy (*Renilla*)

luciferase expression ± standard deviation of the mean of two experiments, n = 6-10 per group. Statistical differences were found between the circled and non-circled letter pairs (p<0.05).

Promoters from the carp beta-actin gene (CBACT) and the killifish lactate dehydrogenase-B gene (LDH) were compared to the cytomegalovirus (CMV) enhancer/thymidine kinase (tk) promoter and evaluated for their ability to drive expression of the luciferase reporter gene in Atlantic salmon muscle tissue (Figure 5).

Both the CBACT (pCBACTluc) and CMVtk (pCMVtkluc) promoters induced significantly higher levels of luciferase expression when compared to the negative control pluc (day 4,  $p < 0.05$ ). The LDH promoter (pLDH500luc) drove the least amount of luciferase expression of the three promoters tested and was significantly less than that of the CMVtk promoter (pCMVtkluc) (days 4 & 8,  $p < 0.05$ ). An increasing trend in luciferase expression was observed from 4 days to 8 days in each of the tested promoters.

Referring to Fig. 6, luciferase expression was measured 4 days after co-injecting 25  $\mu\text{g}$  of a test construct and 5  $\mu\text{g}$  of pRL-CMV in the muscle tissue. Results are expressed as ratio of firefly luciferase expression to sea pansy (*Renilla*) luciferase expression  $\pm$  standard deviation of one experiment,  $n = 6-10$  per group. Statistical differences were found between circled and non-circled letter pairs ( $p < 0.05$ ).

A comparison of the Simian virus 40 (SV40) poly-A signal and the rabbit beta-globin (mRBG) poly-A signal was conducted to determine their efficiency in driving expression of the luciferase gene when coupled with the CBACT promoter (see Table 1 for plasmid components). Both test plasmids (pCBACTluc and pVRCBACTluc) drove efficient levels of expression demonstrated by the statistical differences between each plasmid and its respective negative control ( $p < 0.05$ ) (Figure 6). Additionally, the lack of statistical difference between pCBACTluc (CBACT/SV40) and pVRCBACTluc (CBACT/mRBG) indicates that their luciferase expression levels were similar. However,

an optimized luciferase gene was used in pVRCBACTluc while pCBACTluc contained a non-optimized luciferase gene.

#### Construction of expression vectors for the DNA vaccination of fish.

The main elements of a generic expression vector for the DNA vaccination of fish include a suitable fish promoter (carp  $\beta$ -actin), a polyadenylation signal of fish origin (wolfish AFP polyA), and a kanamycin resistance cassette (see table 2). The vector was constructed by first substituting the ampicillin resistance cassette in pGEM-7ZF (+) (Promega) for a kanamycin resistance cassette from the minitransposon TN5. The carp beta-actin promoter-luciferase gene cassette from pCBACTluc was subcloned into the modified pGEM-7Z (+) digested with SacI and HindIII (pJL1). The wolfish antifreeze protein polyA was amplified using the polymerase chain reaction and primers 5'-CGGCCGTCACAAACCCAACTCT-3' and 5'-CGGCCGAGGGTGACAAAAATAAG-3'. The purified PCR fragment was blunt-end cloned into pJL1 digested linearized with BstXI. The ability of the resulting plasmid (pJL3) to drive the expression of the luciferase gene in the tissues of Atlantic salmon was tested by determination of luciferase expression in muscle homogeneates from fish sacrificed 4 days after intramuscular injection of 25  $\mu$ g of plasmid. The plasmid pJL3 is able to drive the expression of the luciferase gene to levels similar to the expression vector pCMVtkluc (See Table 2).



Table 2

Expression vector	Luciferase activity in muscle of Atlantic salmon (ratio of luciferase/renilla +/- SEM)
pCMVtk luc	1.1 ± 0.6
PCBACTluc	0.5 ± 0.2
pJL3	0.9 ± 0.4
pJGJ1	1.1 ± 0.3
pLUC (negative control)	0.3 ± 0.2

Construction of eukaryotic expression vectors for the DNA vaccination of fish using transformed bacterial fish pathogens.

A eukaryotic expression vector that can be propagated and maintained in bacterial fish pathogens (pJGJ1) was constructed by subcloning the CMV promoter/luciferase gene/bGH polyA cassette from pcDNA3 (Invitrogen) into the broad host range plasmid pACYC184 by blunt end ligation. The ability of the resulting plasmid (pJGJ1) to drive the expression of the luciferase gene in the tissues of Atlantic salmon was tested by determination of luciferase expression in muscle homogenates from fish sacrificed 4 days after intramuscular injection of 25 µg of test plasmid. The resulting plasmid (pJGJ1) is able to drive the expression of the luciferase gene in the muscle of Atlantic salmon to levels similar to the expression vector pCMVtkluc (See Table 2). The plasmid was used to successfully transform *Aeromonas salmonicida* cells.

Transformation of *Vibrio anguillarum* using the DNA coding for eukaryotic expression vectors

A non-pathogenic strain of *V. anguillarum* using insertional mutagenesis has been developed and is disclosed in copending Appln. Serial No. \_\_\_\_\_, filed July 26, 2001,  
5 entitled "A Live, Avirulent Strain of *V. anguillarum* that Protects Fish Against Infection by Virulent *V. anguillarum* and Method for Making the Same" invented by David R. Nelson, which disclosure is hereby incorporated by reference in its entirety into this disclosure. Preliminary experiments indicate that immunization of Atlantic salmon by intraperitoneal injection of the non-pathogenic strain (live vaccine) provides protection  
10 against challenge with the wild type strain. The wild type *V. anguillarum* and the non-pathogenic strain, which is characterized in that it is incapable of expressing a functional *MugA* protein, can be used as vectors for the delivery of DNA vaccines in fish.

Experimental Procedure

The plasmid pSUP202 (Simon, R., U. Priefer, and A. Pühler. 1983. A broad host  
15 range mobilization system for *in vivo* genetic engineering: transposon mutagenesis in gram negative bacteria. Bio/Technology 1:784-791) can be used in the development of eukaryotic expression vectors to be introduced in *V. anguillarum* by conjugation or transformation. In conjugation (or bacterial mating), a plasmid containing a mobilization signal and transfer sequences (pSUP202) is introduced into *V. anguillarum* from *E. coli*  
20 by mating. That is, the two different cell types are mixed together and the plasmid (pSUP202) encodes factors that permit it to transfer itself from one bacterium to another. The recipient *V. anguillarum* strain now carrying the plasmid is selected by antibiotic resistance. The plasmid pSUP202 contains a wide host range origin of replication

(allows for replication in bacterial cells other than *E. coli*) and elements that allow for the persistence of plasmid DNA in *V. anguillarum*. The generic fish expression vector that was developed as previously discussed is modified for the transformation of *V.*

*anguillarum* by subcloning the eukaryotic promoter-gene of interest-polyA signal

5 cassette into a modified shortened version of the plasmid pSUP202 in which unnecessary sequences have been removed. The DNA of the resulting plasmid (pNG1) is introduced into mutant and wild-type *V. anguillarum* by regular mating using standard protocols (Milton DL, Norqvist A, Wolf-Watz H. Cloning of a metalloprotease gene involved in the virulence mechanism of *Vibrio anguillarum*. J. Bacteriol. 1992; 174:7235-7244). The  
10 conditions for persistence and replication of expression vector DNA in *V. anguillarum* and the integrity of expression vectors are determined using standard protocols.

The foregoing description has been limited to a specific embodiment of the invention. It will be apparent, however, that variations and modifications can be made to the invention, with the attainment of some or all of the advantages of the invention.

15 Therefore, it is the object of the appended claims to cover all such variations and modifications as come within the true spirit and scope of the invention.

Having described our invention, what we now claim is: